Convexity in tropical spaces and compactifications of cluster varieties

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Joint work with Man-Wai Cheung and Alfredo Nájera Chávez [arXiv:1912.13052 \[math.AG\]](https://arxiv.org/abs/1912.13052)

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Generalize the polytope construction of projective toric varieties to the non-toric world

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Definition (Toric Variety)

An open immersion $T \hookrightarrow X$ of an algebraic torus such that the action of T on itself extends to an action of T on X.

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One of the simplest classes of objects in algebraic geometry– very amenable to computations and proofs

Generalize the polytope construction of projective toric varieties to the cluster world

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Generalize the polytope construction of projective toric varieties to the cluster world

Toric Picture:

A d-dimensional convex rational polytope defines a d -dimensional (polarized) projective toric variety.

- Integral points of polytope $P \rightsquigarrow$ Sections of line bundle $\mathcal L$
- Integral points of dilations of $P \rightsquigarrow$ Sections of powers of $\mathcal L$

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Example $(\mathbb{P}^2, \mathcal{O}(1))$

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Non-example

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Let $T \cong (\mathbb{C}^*)^n$ and $M = \text{char}(T)$.

Want cluster analogue of this:

 \bullet *M* is a basis for $\mathcal{O}(T)$

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A smooth complex variety U with a unique volume form Ω having at worst a simple pole along any divisor in any compactification of U

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T = (\mathbb{C}^*)^n
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, $\Omega = \frac{dz_1}{z_1} \wedge \cdots \wedge \frac{dz_n}{z_n}$

Definition (Log Calabi-Yau variety)

A smooth complex variety U with a unique volume form Ω having at worst a simple pole along any divisor in any compactification of U

Example

Algebraic torus $T = (\mathbb{C}^*)^n$, $\Omega = \frac{dz_1}{z_1} \wedge \cdots \wedge \frac{dz_n}{z_n}$ **Fact:** If (Y, D) is any toric variety with its toric boundary divisor, then Ω has a simple pole along each component of D .

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Example (Carefully glued tori)

$$
U = \bigcup_i T_i / \sim
$$

$$
\mu_{ij} : T_i \dashrightarrow T_j, \qquad \mu_{ij}^* (\Omega_j) = \Omega_i
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\bullet \; (\overline{Y}, \overline{D}) \text{ toric variety}
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- \bullet $U := Y \setminus D$ is log CY

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Two ways of describing a Cluster Variety

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The \mathbb{P}^2 example

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The \mathbb{P}^2 example

• Picture of atlas for toric variety

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- Picture of atlas for toric variety
- **•** Every cone is a chart
- Inclusion of cones is inclusion of open subvarieties
- \bullet A d-dimensional cone corresponds to a codimension d stratum

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Definition

Let (U, Ω) be log CY. A divisorial discrete valuation (ddv) $\nu : \mathbb{C}(U) \setminus \{0\} \to \mathbb{Z}$ is a discrete valuation of the form $\nu = \text{ord}_D(\cdot)$ where D is (a positive multiple of) an irreducible effective divisor in a variety birational to U .

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If $U = T_N$, $U^{\text{trop}}(\mathbb{Z}) = N$.

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Example

If $U=T_N$, $U^{\operatorname{trop}}(\mathbb Z)=N$. Recall that toric divisors are indexed by cocharacters.

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We can extend scalars from $\mathbb{Z}_{>0}$ to $\mathbb{R}_{>0}$ in the definition of $U^{\mathrm{trop}}(\mathbb{Z})$ to obtain $U^{\mathrm{trop}}(\mathbb R)$ – the **real tropicalization of** $U.$

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- $U^{\mathrm{trop}}(\mathbb R)$ has a natural piecewise linear structure.
- When $U=T_N$, $U^{\operatorname{trop}}(\mathbb R)=N_{\mathbb R}$ is actually linear.

Scattering Diagrams (from Gross-Siebert program)

Rough definition

A scattering diagram is a collection of walls in $U^{\mathrm{trop}}(\mathbb{R})$.

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- A scattering diagram is a collection of walls in $U^{\mathrm{trop}}(\mathbb{R})$. Wall: Codim 1 rational convex cone, decorated with a *scattering* function.
- Walls correspond to curve classes in compactifications of U , with tangency conditions at boundary. Scattering function related to enumerative invariants of curve class.

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• In cluster case [\[GHKK18\]](#page-145-0) give algorithm for building scattering diagram from simple initial data.

Example

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Scattering Diagrams (from Gross-Siebert program)

Wall-crossing

Scattering function f on wall $\mathfrak{d}\subset n^{\perp}$ defines wall-crossing map $\mathfrak{p}_f : z^m \mapsto z^m f^{\pm \langle m,n\rangle}$, with sign determined by crossing direction.

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$$
z^{e_1^*} \mapsto z^{e_1^*} \left(1 + z^{e_2^* - e_1^*} \right)
$$

Not consistent

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$$
z^m\mapsto z^m
$$

Consistent

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Theorem (Gross-Hacking-Keel-Kontsevich, using 2D result of Kontsevich-Soibelman)

There is a unique (up to equivalence) consistent scattering diagram associated to every cluster variety.

Get " ϑ -function" on U^\vee for each $p\in U^{\operatorname{trop}}(\mathbb Z)$ – think N is a basis for $\mathcal{O}(T_M=T_N^{\vee}).$

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- Local coordinates for ϑ_p :
	- Pick $Q \in U^{\operatorname{trop}}(\mathbb{R})$. Determines coordinate chart.

- Get " ϑ -function" on U^\vee for each $p\in U^{\operatorname{trop}}(\mathbb Z)$ think N is a basis for $\mathcal{O}(T_M=T_N^{\vee}).$
- Local coordinates for ϑ_p :
	- Pick $Q \in U^{\operatorname{trop}}(\mathbb{R})$. Determines coordinate chart.
	- Write ϑ_p as sum of final decorating monomials for broken lines with initial monomial z^p and endpoint Q .

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ϑ -functions

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Consistency ensures that the local expressions for ϑ_p patch together to give a global function.

ϑ -function multiplication

Structure constants α_{pq}^r

$$
\vartheta_p\cdot\vartheta_q=\sum_{r\in U^{\operatorname{trop}}(\mathbb{Z})}\alpha_{pq}^r\vartheta_r
$$

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Structure constants α_{pq}^r

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Theorem (Gross-Hacking-Keel-Kontsevich)

$$
\alpha_{pq}^r = \sum_{\substack{(\gamma_1, \gamma_2) \\ I(\gamma_1) = p, I(\gamma_2) = q \\ \gamma_1(0) = \gamma_2(0) = r \\ F(\gamma_1) + F(\gamma_2) = r}} c(\gamma_1) \ c(\gamma_2)
$$

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ϑ -function multiplication

Example

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ϑ -function multiplication

Example

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Want cluster analogue of this:

- \bullet M is a basis for $\mathcal{O}(T)$
- Convexity in $M_{\mathbb{R}}$ determines which $S \subset M_{\mathbb{R}}$ define polarized projective compactifications (X, \mathcal{L}) of T
- \bullet The M-points of S and its dilations give a basis for the section ring of $\mathcal L$

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So far have:

 $U^{\operatorname{trop}}(\mathbb Z)$ "is" ϑ -basis for $\mathcal O(U^\vee)$

Convexity in $U^{\operatorname{trop}}(\mathbb{R})$

Question

Is there a convexity notion that says when $S\subset U^{\mathrm{trop}}(\mathbb{R})$ defines a compactification of U^{\vee} ?

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Question

Is there a convexity notion that says when $S\subset U^{\mathrm{trop}}(\mathbb{R})$ defines a compactification of U^{\vee} ? When do S and its dilations define a graded ring?

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Question

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Let's make this more precise.

Definition (Positive subset)

A closed subset $S \subset U^{\mathrm{trop}}(\mathbb{R})$ is **positive** if for every $a,b \in \mathbb{Z}_{\geq 0}$, $p\in aS(\Z)$, $q\in bS(\Z)$, and $r\in U^{\operatorname{trop}}(\Z)$ with $\alpha^r_{p,q}\neq 0$ we have: $r \in (a + b) S$.

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Question

When is S positive?

Definition (Broken line convex [Cheung, M., Nájera Chávez])

A closed subset $S \subset U^{\operatorname{trop}}(\mathbb{R})$ is **broken line convex** if for every $x, y \in S(\mathbb{Q})$, every broken line segment connecting x and y is entirely contained in S.
Definition (Broken line convex [Cheung, M., Nájera Chávez])

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Theorem (Cheung, M., Nájera Chávez)

 S is positive if and only if S is broken line convex.

The problem

• Broken line convexity deals with endpoints of broken line segments.

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 \bullet ϑ -functions are indexed by **asymptotic directions** of broken lines.

The problem

- Broken line convexity deals with **endpoints** of broken line segments.
- \bullet ϑ -functions are indexed by **asymptotic directions** of broken lines.

The fix

Give a "jagged path" ([\[GS16\]](#page-145-0)) type description of ϑ -function multiplication– contributions come as weighted averages along broken line segments.

Example

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The generalization:

- $U^{\operatorname{trop}}(\mathbb Z)$ "is" ϑ -basis for $\mathcal O(U^\vee)$
- Broken line convexity in $U^{\mathrm{trop}}(\mathbb{R})$ determines which $S\subset U^{\mathrm{trop}}(\mathbb{R})$ define polarized projective compactifications $(X, {\mathcal L})$ of U^\vee
- The $U^{\operatorname{trop}}(\mathbb Z)$ -points of S and its dilations give a basis for the section ring of $\mathcal L$

Example (Anticanonical "polytope" of degree 5 del Pezzo surface)

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Non-example

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Usual NO bodies

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Usual NO bodies

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+ Lara Bossinger

Usual NO bodies

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$$
\bullet\ R_D:=\bigoplus\nolimits_{j\geq 0}R_j,\quad R_j:=\Gamma\left(X,\mathcal O_X(jD)\right)
$$

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• Important tool for studying toric degenerations

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- **•** Important tool for studying toric degenerations
- NO body genuinely depends on ν , not just the geometric input (X, D)

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Intrinsic NO bodies for cluster varieties

Assume $X \setminus D$ is a cluster variety (U, Ω) , and Ω has a pole along each component of D

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Intrinsic NO bodies for cluster varieties

- Assume $X \setminus D$ is a cluster variety (U, Ω) , and Ω has a pole along each component of D
- For a regular function $f=\qquad \sum \qquad a_{q}\vartheta_{q}$ on U , define $q \in (U^\vee)$ ^{trop} (\mathbb{Z})

$$
\text{Newt}_{\vartheta}(f) := \text{conv}_{\text{BL}}\left\{q \in (U^{\vee})^{\text{trop}}(\mathbb{Z}) : a_q \neq 0\right\}.
$$

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Intrinsic NO bodies for cluster varieties

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- For a regular function $f=\qquad \sum \qquad a_{q}\vartheta_{q}$ on U , define $q \in (U^\vee)$ ^{trop} (\mathbb{Z})

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\text{Newt}_{\vartheta}(f) := \text{conv}_{\text{BL}}\left\{q \in (U^{\vee})^{\text{trop}}(\mathbb{Z}) : a_q \neq 0\right\}.
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• Intrinsic Newton-Okounkov body:

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\Delta_{\vartheta}(D) := \overline{\text{conv}_{\text{BL}}\left(\bigcup_{j\geq 1}\Big(\bigcup_{f\in R_j}\frac{1}{j}\text{Newt}_{\vartheta}(f)\Big)\right)}.
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An advantage of intrinsic NO bodies

While conceptually a bit more high-tech, often simpler object in practice.

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In this case, $\Delta_{\vartheta}(D) = \operatorname{conv}_{\rm BL}\left(\, \bigcup_{j=1}^{k} \Big(\bigcup_{f \in R_j} \frac{1}{j} \, \mathrm{Newt}_{\vartheta}(f) \Big) \right)$ for some $k \leq \min\left\{k_{\nu}: \nu \text{ valuation associated to choice of torus } \text{ chart}\right\}$

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Example ($X = \text{Gr}_k(\mathbb{C}^n)$, D generator of $\text{Cl}(X)$)

• [\[RW19\]](#page-145-2) define valuations ν and NO bodies $\Delta_{\nu}(D)$ for each choice of torus chart

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- Analogous result holds for complete flag variety

Batyrev Duality for Cluster Varieties?

Based on joint works in various stages of completion involving the following people: Lara Bossinger, Man-Wai Cheung, Bosco Frías Medina, and Alfredo Nájera Chávez

Basic Definitions

Definition (Cartier divisor)

A divisor D on a normal variety X is **Cartier** if D is locally principal, meaning there is an open cover $\{U_i\}$ of X with $\left.D\right|_{U_i}$ the divisor associated to zeros and poles of a rational function for all $U_i.$

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Definition (Gorenstein Fano variety)

A normal variety X is Gorenstein Fano if $-K_X$ is Cartier (\rightsquigarrow Gorenstein) and ample (\rightsquigarrow Fano).

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Toric anticanonical divisor

Let X be a normal toric variety defined by a fan Σ in $N_{\mathbb{R}}$. Then

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Definition (Polytope of a divisor)

Let $D=\sum_{\rho\in \Sigma(1)} a_\rho D_\rho$ be a toric divisor on $X.$ Then

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Definition (Reflexive polytope)

A lattice polytope $P \subset M_{\mathbb{R}}$ is reflexive if its dual

$$
P^{\circ} := \{ n \in N_{\mathbb{R}} : \langle m, n \rangle \ge -1 \text{ for all } m \in P \}
$$

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is also a lattice polytope.

Review of Toric Case

Polytopes and toric Fanos

If X is a d -dimensional Gorenstein Fano toric variety, then P_{-K_X} is a d -dimensional reflexive polytope.

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If P is a d-dimensional reflexive polytope, then the projective toric variety associated to P is Gorenstein Fano.

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Let X be a Gorenstein Fano toric variety, and $D \in |-K_X|$. By the adjunction formula $K_D = (K_X + D)|_D = 0$. The Gorenstein property implies generic D have at worst canonical singularities. So $|-K_X|$ consists of mildly singular Calabi-Yau hypersurfaces of X .

Review of Toric Case

Batyrev's conjecture

The involution $P \mapsto P^{\circ}$ on the set of d -dimensional reflexive polytopes agrees with the mirror involution on conformal field theories associated to Calabi-Yau hypersurfaces of the Gorenstein Fano toric varieties defined by P and P° .

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Relation to Landau-Ginzburg models

 $X\supset T$ Gorenstein Fano toric variety, $-K_X=\sum_i D_{n_i}$

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Level sets of W almost ${\sf CY}$, but not compact. P° defines Gorenstein Fano $Y\supset T^\vee$ $Y\supset T^\vee$ $Y\supset T^\vee$, with W a section of the anticanonical [bu](#page-119-0)[nd](#page-121-0)[le](#page-113-0) [o](#page-120-0)[f](#page-121-0) $Y.$ $Y.$

The Cluster Case

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Landau-Ginzburg model and anticanonical "polytope"

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- $(U^{\vee})^{\operatorname{trop}}(\mathbb{Z})$ parametrizes ϑ -functions on U .
- Restriction of evaluation pairing gives

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\langle \cdot, \cdot \rangle : U^{\text{trop}}(\mathbb{Z}) \times (U^{\vee})^{\text{trop}}(\mathbb{Z}) \to \mathbb{Z}
$$

$$
(\nu, p) \qquad \mapsto \nu(\vartheta_p)
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Dual "polytope" and the potential

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 $\mathrm{Newt}_{\vartheta}(W)$ defines a minimal model (Y,D') for $U^{\vee}.$

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Proposed dual

 $\mathrm{Newt}_{\vartheta}(W)$ defines a minimal model (Y,D') for $U^{\vee}.$ **Guess:** Generic sections of $\mathcal{O}_X(D)$ and $\mathcal{O}_Y(D')$ are mirror (mildly singular) Calabi-Yau varieties.

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Main complications

 \bullet Cl(U) may not be trivial.

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- Easy to say when ϑ_r contributes to $\vartheta_n \cdot \vartheta_q$.
- When can we write ϑ_r as $\sum_{p,q} \; c_{p,q} \; \vartheta_p \cdot \vartheta_q?$ $p \in aP(\mathbb{Z})$ $q \in bP(\mathbb{Z})$

Consider

 \bullet X representation theoretically interesting Fano variety, e.g. flag variety or Grassmannian

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 \bullet $\Delta_{\theta}(D)$ the intrinsic Newton-Okounkov body of (X, D)

 $\Delta_{\vartheta}(D)^\circ$ defines Batyrev dual pair (Y,D') with $Y\setminus D'=U^\vee.$ **Question:** How is the geometry of (Y, D') related to the representation theory of (X, D) ?

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