Convexity in tropical spaces and compactifications of cluster varieties

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Joint work with Man-Wai Cheung and Alfredo Nájera Chávez arXiv:1912.13052 [math.AG]

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Generalize the polytope construction of projective $\ensuremath{\textbf{toric}}\xspace$ varieties to the non-toric world

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Definition (Toric Variety)

An open immersion $T \hookrightarrow X$ of an algebraic torus such that the action of T on itself extends to an action of T on X.

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Definition (Toric Variety)

An open immersion $T \hookrightarrow X$ of an algebraic torus such that the action of T on itself extends to an action of T on X.

• One of the simplest classes of objects in algebraic geometry- very amenable to computations and proofs

Generalize the polytope construction of projective toric varieties to the **cluster** world

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Generalize the polytope construction of projective toric varieties to the **cluster** world

Toric Picture:

A *d*-dimensional convex rational polytope defines a *d*-dimensional (polarized) projective toric variety.

- Integral points of polytope $P \rightsquigarrow$ Sections of line bundle $\mathcal L$
- Integral points of dilations of $P \rightsquigarrow$ Sections of powers of $\mathcal L$



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Example $(\mathbb{P}^2, \mathcal{O}(1))$



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Non-example



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Let $T \cong (\mathbb{C}^*)^n$ and $M = \operatorname{char}(T)$.

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Definition (Log Calabi-Yau variety)

A smooth complex variety U with a unique volume form Ω having at worst a simple pole along any divisor in any compactification of U

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Algebraic torus
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, $\Omega = \frac{dz_1}{z_1} \wedge \cdots \wedge \frac{dz_n}{z_n}$

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Example

Algebraic torus $T = (\mathbb{C}^*)^n$, $\Omega = \frac{dz_1}{z_1} \wedge \cdots \wedge \frac{dz_n}{z_n}$ **Fact:** If (Y, D) is any toric variety with its toric boundary divisor, then Ω has a simple pole along each component of D.

Example (Carefully glued tori)

$$U = \bigcup_{i} T_{i} / \sim$$
$$\mu_{ij} : T_{i} \dashrightarrow T_{j}, \qquad \mu_{ij}^{*} (\Omega_{j}) = \Omega_{i}$$

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Example (Blow-up of toric variety)

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$$(\overline{Y},\overline{D})$$
 toric variety

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- $\bullet \ (\overline{Y},\overline{D})$ toric variety
- $H \subset \overline{D}$ codim 1 locus of boundary (codim 2 in \overline{Y})



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- $\bullet \ U \mathrel{\mathop:}= Y \setminus D \text{ is log CY}$



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Two ways of describing a Cluster Variety



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The \mathbb{P}^2 example



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The \mathbb{P}^2 example



• Picture of atlas for toric variety

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• Every cone is a chart

- Picture of atlas for toric variety
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- Inclusion of cones is inclusion of open subvarieties

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- Picture of atlas for toric variety
- Every cone is a chart
- Inclusion of cones is inclusion of open subvarieties
- A d-dimensional cone corresponds to a codimension d stratum

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Definition

Let (U, Ω) be log CY. A **divisorial discrete valuation** (ddv) $\nu : \mathbb{C}(U) \setminus \{0\} \to \mathbb{Z}$ is a discrete valuation of the form $\nu = \operatorname{ord}_D(\cdot)$ where D is (a positive multiple of) an irreducible effective divisor in a variety birational to U.

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Example

If $U = T_N$, $U^{\operatorname{trop}}(\mathbb{Z}) = N$.

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Example

If $U=T_N,\, U^{\rm trop}(\mathbb{Z})=N.$ Recall that toric divisors are indexed by cocharacters.

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We can extend scalars from Z_{>0} to R_{>0} in the definition of U^{trop}(Z) to obtain U^{trop}(ℝ) – the real tropicalization of U.

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- $U^{\mathrm{trop}}(\mathbb{R})$ has a natural piecewise linear structure.
- When $U = T_N$, $U^{\text{trop}}(\mathbb{R}) = N_{\mathbb{R}}$ is actually linear.

Scattering Diagrams (from Gross-Siebert program)

Rough definition

• A scattering diagram is a collection of walls in $U^{\mathrm{trop}}(\mathbb{R})$.

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Toric case: Codim 1 cone of fan gives 1 dim'l stratum. Enumerative invariant 0, so scattering function trivial.

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• In cluster case [GHKK18] give algorithm for building scattering diagram from simple initial data.

Example



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Scattering Diagrams (from Gross-Siebert program)

Wall-crossing

• Scattering function f on wall $\mathfrak{d} \subset n^{\perp}$ defines wall-crossing map $\mathfrak{p}_f: z^m \mapsto z^m f^{\pm \langle m, n \rangle}$, with sign determined by crossing direction.

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- Scattering diagram \mathfrak{D} consistent if composition of wall-crossing maps along any path γ depends only on endpoints of γ .



$$z^{e_1^*} \mapsto z^{e_1^*} \left(1 + z^{e_2^* - e_1^*} \right)$$

Not consistent

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$$z^m \mapsto z^m$$

Consistent

Theorem (Gross-Hacking-Keel-Kontsevich, using 2D result of Kontsevich-Soibelman)

There is a unique (up to equivalence) consistent scattering diagram associated to every cluster variety.







• Get " ϑ -function" on U^{\vee} for each $p \in U^{\operatorname{trop}}(\mathbb{Z})$ - think N is a basis for $\mathcal{O}(T_M = T_N^{\vee})$.

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 - Pick $Q \in U^{\operatorname{trop}}(\mathbb{R})$. Determines coordinate chart.

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 - Write ϑ_p as sum of final decorating monomials for broken lines with initial monomial z^p and endpoint Q.



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ϑ -functions



Consistency ensures that the local expressions for ϑ_p patch together to give a global function.



ϑ -function multiplication

Structure constants α_{pq}^{r}

$$\vartheta_p \cdot \vartheta_q = \sum_{r \in U^{\mathrm{trop}}(\mathbb{Z})} \alpha_{pq}^r \vartheta_r$$

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Structure constants α_{pq}^{r}

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Theorem (Gross-Hacking-Keel-Kontsevich)

$$\alpha_{pq}^{r} = \sum_{\substack{(\gamma_{1}, \gamma_{2}) \\ I(\gamma_{1}) = p, \ I(\gamma_{2}) = q \\ \gamma_{1}(0) = \gamma_{2}(0) = r \\ F(\gamma_{1}) + F(\gamma_{2}) = r}} c(\gamma_{1}) \ c(\gamma_{2})$$

ϑ -function multiplication

Example



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- ${\ \bullet \ } M$ is a basis for ${\mathcal O}(T)$
- Convexity in $M_{\mathbb{R}}$ determines which $S \subset M_{\mathbb{R}}$ define polarized projective compactifications (X, \mathcal{L}) of T
- \bullet The $M\mbox{-points}$ of S and its dilations give a basis for the section ring of ${\cal L}$

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So far have:

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• U^{\operatorname{trop}}(\mathbb{Z}) "is" \vartheta-basis for \mathcal{O}(U^{\vee})
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Convexity in $U^{\operatorname{trop}}(\mathbb{R})$

Question

Is there a convexity notion that says when $S\subset U^{\rm trop}(\mathbb{R})$ defines a compactification of $U^\vee ?$

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Question

Is there a convexity notion that says when $S \subset U^{trop}(\mathbb{R})$ defines a compactification of U^{\vee} ? When do S and its dilations define a graded ring?

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Question

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Let's make this more precise.

Definition (Positive subset)

A closed subset $S \subset U^{\operatorname{trop}}(\mathbb{R})$ is **positive** if for every $a, b \in \mathbb{Z}_{\geq 0}$, $p \in aS(\mathbb{Z})$, $q \in bS(\mathbb{Z})$, and $r \in U^{\operatorname{trop}}(\mathbb{Z})$ with $\alpha_{p,q}^r \neq 0$ we have: $r \in (a+b) S$.

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Question

When is S positive?

Definition (Broken line convex [Cheung, M., Nájera Chávez])

A closed subset $S \subset U^{\text{trop}}(\mathbb{R})$ is **broken line convex** if for every $x, y \in S(\mathbb{Q})$, every broken line segment connecting x and y is entirely contained in S.

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Theorem (Cheung, M., Nájera Chávez)

S is positive if and only if S is broken line convex.

The problem

• Broken line convexity deals with endpoints of broken line segments.

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• ϑ -functions are indexed by **asymptotic directions** of broken lines.

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- ϑ -functions are indexed by **asymptotic directions** of broken lines.

The fix

Give a "jagged path" ([GS16]) type description of ϑ -function multiplication– contributions come as weighted averages along broken line segments.

Example



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Example



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Want cluster analogue of this:

- M is a basis for $\mathcal{O}(T)$
- Convexity in $M_{\mathbb{R}}$ determines which $S \subset M_{\mathbb{R}}$ define polarized projective compactifications (X, \mathcal{L}) of T
- $\bullet\,$ The $M\mbox{-points}$ of S and its dilations give a basis for the section ring of ${\cal L}$

Want cluster analogue of this:

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The generalization:

- $U^{\operatorname{trop}}(\mathbb{Z})$ "is" ϑ -basis for $\mathcal{O}(U^{\vee})$
- Broken line convexity in $U^{\mathrm{trop}}(\mathbb{R})$ determines which $S \subset U^{\mathrm{trop}}(\mathbb{R})$ define polarized projective compactifications (X, \mathcal{L}) of U^{\vee}
- The $U^{\rm trop}(\mathbb{Z})\text{-points}$ of S and its dilations give a basis for the section ring of $\mathcal L$

Example (Anticanonical "polytope" of degree 5 del Pezzo surface)



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Non-example



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Usual NO bodies

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 $\bullet \ D$ a divisor on X

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- D a divisor on X
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$$R_D := \bigoplus_{j \ge 0} R_j, \quad R_j := \Gamma(X, \mathcal{O}_X(jD))$$

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Newton-Okounkov body: $\Delta_{\nu}(D) := \operatorname{conv}\left(\bigcup_{j\geq 1} \frac{1}{j}\nu(R_j)\right)$

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Important tool for studying toric degenerations

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- Important tool for studying toric degenerations
- NO body genuinely depends on ν , not just the geometric input (X, D)

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Intrinsic NO bodies for cluster varieties

• Assume $X\setminus D$ is a cluster variety $(U,\Omega),$ and Ω has a pole along each component of D

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Intrinsic NO bodies for cluster varieties

- Assume $X\setminus D$ is a cluster variety $(U,\Omega),$ and Ω has a pole along each component of D
- For a regular function $f=\sum_{q\in (U^\vee)^{\rm trop}(\mathbb{Z})}a_q\vartheta_q$ on U, define

$$\operatorname{Newt}_{\vartheta}(f) := \operatorname{conv}_{\operatorname{BL}} \left\{ q \in (U^{\vee})^{\operatorname{trop}}(\mathbb{Z}) : a_q \neq 0 \right\}.$$

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$$\Delta_{\vartheta}(D) := \overline{\operatorname{conv}_{\mathrm{BL}}\left(\bigcup_{j \ge 1} \left(\bigcup_{f \in R_j} \frac{1}{j} \operatorname{Newt}_{\vartheta}(f)\right)\right)}$$

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Intrinsic NO bodies for cluster varieties

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Newt_{$$\vartheta$$}(f) := conv_{BL} { $q \in (U^{\vee})^{trop}(\mathbb{Z}) : a_q \neq 0$ }.

• Intrinsic Newton-Okounkov body:

$$\Delta_{artheta}(D) := \overline{\operatorname{conv}_{\operatorname{BL}}\left(igcup_{j\geq 1}\Big(igcup_{f\in R_j}rac{1}{j}\operatorname{Newt}_{artheta}(f)\Big)
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• Each choice of torus chart identifies $\Delta_{\vartheta}(D)$ with a usual NO body

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An advantage of intrinsic NO bodies

• While conceptually a bit more high-tech, often simpler object in practice.

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• In this case, $\Delta_{\vartheta}(D) = \operatorname{conv}_{\mathrm{BL}}\left(\bigcup_{j=1}^{k} \left(\bigcup_{f \in R_{j}} \frac{1}{j} \operatorname{Newt}_{\vartheta}(f)\right)\right)$ for some $k \leq \min\{k_{\nu} : \nu \text{ valuation associated to choice of torus chart}\}$

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Example ($X = \operatorname{Gr}_k(\mathbb{C}^n)$, D generator of $\operatorname{Cl}(X)$)

• [RW19] define valuations ν and NO bodies $\Delta_{\nu}(D)$ for each choice of torus chart

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- Analogous result holds for complete flag variety

Batyrev Duality for Cluster Varieties?

Based on joint works in various stages of completion involving the following people: Lara Bossinger, Man-Wai Cheung, Bosco Frías Medina, and Alfredo Nájera Chávez

Basic Definitions

Definition (Cartier divisor)

A divisor D on a normal variety X is **Cartier** if D is locally principal, meaning there is an open cover $\{U_i\}$ of X with $D|_{U_i}$ the divisor associated to zeros and poles of a rational function for all U_i .

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Definition (Gorenstein Fano variety)

A normal variety X is **Gorenstein Fano** if $-K_X$ is Cartier (\rightsquigarrow Gorenstein) and ample (\rightsquigarrow Fano).

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Toric anticanonical divisor

Let X be a normal toric variety defined by a fan Σ in $N_{\mathbb{R}}.$ Then

$$-K_X = \sum_{\rho \in \Sigma(1)} D_{\rho}.$$

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Let $D = \sum_{\rho \in \Sigma(1)} a_{\rho} D_{\rho}$ be a toric divisor on X. Then

$$P_D := \left\{ m \in M_{\mathbb{R}} : \langle m, n_\rho \rangle \ge -a_\rho \text{ for all } \rho \in \Sigma(1) \right\}.$$

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Definition (Reflexive polytope)

A lattice polytope $P \subset M_{\mathbb{R}}$ is **reflexive** if its dual

$$P^{\circ} := \{ n \in N_{\mathbb{R}} : \langle m, n \rangle \ge -1 \text{ for all } m \in P \}$$

is also a lattice polytope.

Polytopes and toric Fanos

• If X is a d-dimensional Gorenstein Fano toric variety, then P_{-K_X} is a d-dimensional reflexive polytope.

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• If *P* is a *d*-dimensional reflexive polytope, then the projective toric variety associated to *P* is Gorenstein Fano.

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Calabi-Yau hypersurfaces

Let X be a Gorenstein Fano toric variety, and $D \in |-K_X|$. By the adjunction formula $K_D = (K_X + D)|_D = 0$.

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Review of Toric Case

Batyrev's conjecture

The involution $P \mapsto P^{\circ}$ on the set of *d*-dimensional reflexive polytopes agrees with the mirror involution on conformal field theories associated to Calabi-Yau hypersurfaces of the Gorenstein Fano toric varieties defined by P and P° .

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Relation to Landau-Ginzburg models

 $X \supset T$ Gorenstein Fano toric variety, $-K_X = \sum_i D_{n_i}$

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Relation to Landau-Ginzburg models

 $X \supset T$ Gorenstein Fano toric variety, $-K_X = \sum_i D_{n_i}$

• The LG potential $W: T^{\vee} \to \mathbb{C}$ is $W = \sum_i z^{n_i}$.

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Level sets of W almost CY, but not compact. P° defines Gorenstein Fano $Y \supset T^{\vee}$, with W a section of the anticanonical bundle of Y.

The Cluster Case

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Landau-Ginzburg model and anticanonical "polytope"

(X, D) Fano minimal model of cluster variety U, with $D = \sum_i D_{\nu_i}$.

The Cluster Case

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- Z-points of $rP := \{p \in (U^{\vee})^{\operatorname{trop}}(\mathbb{R}) : W^{\operatorname{trop}}(p) \ge -r\}$ parametrize ϑ -basis for $\Gamma(X, \mathcal{O}_X(rD))$.

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The tropical pairing

• $U^{\mathrm{trop}}(\mathbb{Z})$ is by definition divisorial discrete valuations on $\mathbb{C}(U) \setminus \{0\}$.

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- Z-points of $rP := \{p \in (U^{\vee})^{\operatorname{trop}}(\mathbb{R}) : W^{\operatorname{trop}}(p) \ge -r\}$ parametrize ϑ -basis for $\Gamma(X, \mathcal{O}_X(rD))$.

The tropical pairing

U^{trop}(ℤ) is by definition divisorial discrete valuations on ℂ(U) \ {0}.
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The Cluster Case

Landau-Ginzburg model and anticanonical "polytope"

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The tropical pairing

- $U^{\mathrm{trop}}(\mathbb{Z})$ is by definition divisorial discrete valuations on $\mathbb{C}(U) \setminus \{0\}$.
- $(U^{\vee})^{\operatorname{trop}}(\mathbb{Z})$ parametrizes ϑ -functions on U.
- Restriction of evaluation pairing gives

$$\langle \cdot , \cdot \rangle : U^{\operatorname{trop}}(\mathbb{Z}) \times (U^{\vee})^{\operatorname{trop}}(\mathbb{Z}) \to \mathbb{Z}$$
$$(\nu , p) \mapsto \nu(\vartheta_p)$$

The Cluster Case

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Dual "polytope" and the potential

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The Cluster Case

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Theorem: Newt_{ϑ}(W)^{\circ}(Q) = P(Q).

Proposed dual

Newt_{ϑ}(W) defines a minimal model (Y, D') for U^{\vee} . **Guess:** Generic sections of $\mathcal{O}_X(D)$ and $\mathcal{O}_Y(D')$ are mirror (mildly singular) Calabi-Yau varieties.

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Main complications

• Cl(U) may not be trivial.

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- Easy to say when ϑ_r contributes to $\vartheta_p \cdot \vartheta_q$.
- When can we write ϑ_r as $\sum_{\substack{p\in aP(\mathbb{Z})\\q\in bP(\mathbb{Z})}}c_{p,q}\;\vartheta_p\cdot\vartheta_q?$

Consider

• X representation theoretically interesting Fano variety, *e.g.* flag variety or Grassmannian

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Question

Consider

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• $\Delta_{\vartheta}(D)$ the intrinsic Newton-Okounkov body of (X, D)

 $\Delta_{\vartheta}(D)^{\circ}$ defines Batyrev dual pair (Y, D') with $Y \setminus D' = U^{\vee}$. Question: How is the geometry of (Y, D') related to the representation theory of (X, D)?

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